
Chapter 17 Oxidation and Reduction

from Organic Chemistry

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Chapter Outline of the Book

- 1. Organic Molecules and Chemical Bonding
- 2. Alkanes and Cycloalkanes
- 3. Haloalkanes, Alcohols, Ethers, and Amines
- 4. Stereochemistry
- 5. Organic Spectrometry

II. Reactions, Mechanisms, Multiple Bonds

- 6. Organic Reactions *(*Not yet Posted*)
- 7. Reactions of Haloalkanes, Alcohols, and Amines. Nucleophilic Substitution
- 8. Alkenes and Alkynes
- 9. Formation of Alkenes and Alkynes. Elimination Reactions
- 10. Alkenes and Alkynes. Addition Reactions
- 11. Free Radical Addition and Substitution Reactions

III. Conjugation, Electronic Effects, Carbonyl Groups

- 12. Conjugated and Aromatic Molecules
- 13. Carbonyl Compounds. Ketones, Aldehydes, and Carboxylic Acids
- 14. Substituent Effects
- 15. Carbonyl Compounds. Esters, Amides, and Related Molecules

IV. Carbonyl and Pericyclic Reactions and Mechanisms

- 16. Carbonyl Compounds. Addition and Substitution Reactions
- 17. Oxidation and Reduction Reactions
- 18. Reactions of Enolate Ions and Enols
- 19. Cyclization and Pericyclic Reactions *(Not yet Posted)

V. Bioorganic Compounds

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- *****

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17: Oxidation and Reduction

Oxidation and Reduction Occur Together
Oxidation of Alcohols and Aldehydes
Oxidation of Carbon-Carbon Multiple Bonds
Oxidation of Alkyl Groups
Phenols, Hydroquinones, and Quinones
Reduction Reactions
Reduction of Ketones and Aldehydes
Reduction of R-C(=O)-Z and Related Compounds
Reduction of C=C and C=C Bonds

Some Comments about this Chapter

Although we introduced oxidation and reduction reactions of organic compounds in earlier chapters, they are so important that we bring them together in this chapter. Chemists use "redox" reactions extensively in synthesis of organic compounds, and they are of immense biological importance.

When we first wrote this chapter, a combined presentation of redox reactions in a basic organic text was unusual. They typically appeared in chapters on the functional groups of the reactants or products. This functional group organization has merits, but a combined presentation of redox reactions of a variety of functional groups in one chapter allows us to more easily compare reagents and reaction mechanisms.

17.1 Oxidation and Reduction Occur Together

We cannot oxidize a chemical species using a chemical reaction without simultaneously reducing another chemical species. As a result, organic *oxidation* requires a simultaneous *reduction* reaction usually of inorganic reagents. Similarly, *reduction* of an organic compound generally involves concomitant *oxidation* of inorganic reagents.

Redox Reactions Involve Electron Transfer (17.1A)

Oxidation and reduction reactions (**redox** reactions) involve the overall *transfer of electrons* from one species to another species. The chemical species being *oxidized* loses electrons to the chemical species being *reduced*.

Inorganic Redox Reactions. The ionic inorganic **redox** reaction involving Fe and Cu ions (Figure 17.001) illustrates this electron transfer.

Figure 17.001

 $Fe^{+3} + Cu^{+1} \rightarrow Fe^{+2} + Cu^{+2}$

The two balanced ionic half-reactions (Figure 17.002) that make up this overall reaction show that Cu^{+1} loses an electron (e⁻) when it is oxidized to Cu^{+2} .

Figure 17.002

$$Cu^{+1} \rightarrow Cu^{+2} + e^{-}$$

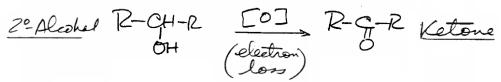
Fe⁺³ + e⁻ \rightarrow Fe⁺²

At the same time, Fe^{+3} gains an electron when it is reduced to Fe^{+2} . The electron gained by Fe^{+3} comes from Cu^{+1} .

Remembering How the Electrons Flow. If you have trouble remembering the way electrons flow in oxidation and reduction reactions, the following observations help me: The word <u>Oxidation</u> starts with the letter "O" and that is also the second letter of the word <u>pOsitive</u>. Things become more <u>pOsitive</u> when they are <u>Oxidized</u>. Similarly, both <u>rEduction</u> and <u>nEgative</u> have the same second letter "E" and things become more <u>nEgative</u> when they are <u>rEduced</u>.

Organic Redox Reactions. Electron transfer is usually difficult to see in the organic reactant(s) and product(s) in an organic redox reaction. For example the conversion of a 2° alcohol to a ketone (Figure 17.003) is oxidation, but it is not obvious that electron transfer has occurred by looking at the alcohol and ketone structures.

Figure 17.003



This electron transfer is generally visible, however, in the inorganic reagents and products of redox reactions. In the case of oxidation of an alcohol to a ketone, an oxidizing agent can be a chromium compound with Cr in its +6 oxidation state (Cr(VI)). During the reaction, Cr is reduced to Cr(III) in a +3 oxidation state showing that it gains electrons from the alcohol as it is oxidzed to the ketone.

Oxidation Levels of Organic Compounds (17.1B)

We can demonstrate the oxidation or reduction of an organic compound by calculating oxidation numbers for the C atoms that are oxidized or reduced.

Carbon Oxidation Numbers. We showed calculations for C oxidation numbers in Chapter 13 for alcohols, ketones and aldehydes, and carboxylic acids. Similar calculations for other organic compounds allow us to place them at the various **oxidation levels** that we show in Table 17.01.

Relative Carbon Oxidation Number						
← (More Reduced)			(More Oxi			
-3	-2	-1	0	+1	+2	+3
	R <u>C</u> H2CH2R	R <u>C</u> H= <u>C</u> HR	R <u>C</u> ≡ <u>C</u> R			
R <u>C</u> (OH)H- <u>C</u> (OH)HR						
R <u>C</u> H3		R <u>C</u> H2OH		R <u>C(</u> =O)H		R <u>C(</u> =O)OH
			R <u>C</u> H(OH)R		R <u>C</u> (=O)R	
		R <u>C</u> H₂Z				R <u>C(</u> =O)Z
Oxidation → ← Reduction						

Table 17.01. Relative Oxidation Levels of Organic Compounds

The relative oxidation numbers are at the top of the table for the underlined C atoms in the structures below them. As the oxidation number becomes more positive (less negative), the C atom becomes more oxidized. As the oxidation number becomes less positive (more negative) the C atom becomes more reduced. Do not memorize these oxidation numbers since they will change depending on the R group. But do learn the relative locations of compounds in each row, in order to understand which compounds are in higher or lower oxidation states.

Definitions of Organic Oxidation and Reduction. You can see by looking at the compounds in Table 17.01, that oxidation of a C atom in an organic compound involves one or more of the following changes:

(1) an increase in the multiple bond order of the C

(2) addition of O to a C

(3) replacement of an H on a C by O

We combine these criteria in the statement that *"oxidation of organic molecules involves a gain in oxygen and/or loss of hydrogen"*. Look at each oxidation reaction in the following sections to see that one or more of these criteria are met.

Presentation of Redox Reactions in this Chapter. We begin our discussions of redox reactions with oxidation reactions. They are in sections corresponding to the functional group that we oxidize. Their titles are *Oxidation of Alcohols and Aldehydes* (17.2), *Oxidation of Carbon-Carbon Multiple Bonds* (17.3), *Oxidation of Alkyl Groups* (17.4), and *Formation of Phenols and Quinones* (17.5).

17.2 Oxidation of Alcohols and Aldehydes

Oxidation of alcohols gives ketones or aldehydes, and oxidation of aldehydes gives carboxylic acids as we show in Figure 17.004 where the designation [O] signifies that the reaction is an oxidation.

Figure 17.004

	[O]	
R <u>C</u> H2OH	\rightarrow	R <u>C(</u> =O)H
1°-Alcohol		Aldehyde
	[O]	
R <u>2</u> СНОН	\rightarrow	R <u>2C</u> =O
2°-Alcohol		Ketone
	[O]	
R <u>C</u> (=O)H	\rightarrow	R <u>C(</u> =O)OH
Aldehyde		Carboxylic Acid

We described these reactions in Chapter 13, but give more detailed information about them here. You can see that they fit the criteria for oxidation that we listed above. In the first two reactions, the multiple bond order of <u>C</u> increases due to a "loss of H". In the third reaction, there is replacement of an H on <u>C</u> by O with a "gain in O".

Oxidation Using Cr(VI) Reagents (17.2A)

Common oxidizing agents for these oxidations are Cr(VI) compounds (Figure 17.005)(next page). Cr(VI) is reduced to Cr(III) during oxidation of the alcohol or aldehyde.

Figure 17.005						
Alcohol		Cr(VI)		Ketone or		Cr(III)
or	and	Reagent	\rightarrow	Aldehyde	and	Reagent
Aldehyde		e		or Carboxylic A	aid	U
				Carboxyne A	ciu	

Chromate and Dichromate Reagents. We prepare these Cr(VI) reagents by adding sodium or potassium dichromate $(Na_2Cr_2O_7 \text{ or } K_2Cr_2O_7)$, or chromium trioxide (CrO_3) , to aqueous solutions of sulfuric or acetic acid. Several Cr(VI) species (Table 17.02 and Figure 17.006)[next page] are present in these solutions in equuilibria with each other.

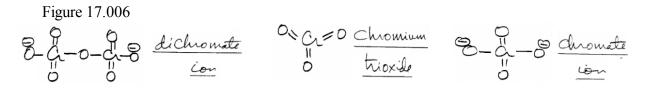


Table 17.02. Cr(VI) Species Present in Solutions of K2Cr2O7, Na2Cr2O7,
or CrO3 in Sulfuric or Acetic Acid.

Chromate Species	Dichromate Species
H ₂ CrO ₄	H ₂ Cr ₂ O ₇
HCrO4 ⁻¹	HCr ₂ O ₇ ⁻¹
CrO4 ⁻²	Cr ₂ O ₇ -2

We can imagine that chromate ion (CrO_4^{-2}) forms from dichromate $(Cr_2O_7^{-2})$ by loss of chromium trioxide (CrO_3) , or that it forms from addition of H₂O to CrO₃ followed by deprotonation. The three "chromate" species, or the three "dichromate" species, are simply differently protonated froms of CrO₄⁻² or Cr₂O₇⁻², respectively

Unwanted Oxidation of Aldehydes. Cr(VI) reagents are powerful oxidizing agents useful for oxidizing 2° alcohols to ketones (Figure 17.005) because ketones are resistant to further oxidation. However aldehydes formed from oxidation of 1° alcohols using Cr(VI) reagents are usually further oxidized to carboxylic acids (Figure 17.004).

We can prevent this by using modified Cr(VI) reagents that we describe later in this section. We can also distill the intermediate aldehyde from the reaction mixture as it forms before it is oxidized further. This is often possible because boiling points of aldehydes are usually much lower than those of the 1° alcohols from which they are formed.

Oxidation of Cyclic Ketones. When ketones react with Cr(VI) reagents at high temperatures, the result is a complicated mixture of products. An exception is oxidation of cyclic ketones that give good yields of dicarboxylic acids (Figure 17.007).

Figure 17.007

Jones Oxidation. Because acyclic ketones are relatively stable to Cr(VI) oxidations, acetone is frequently used as the solvent for Cr(VI) oxidations of alcohols. In these reactions, a $CrO_3/H_2SO_4/H_2O$ mixture is slowly added to an acetone solution of the alcohol, or the alcohol is mixed with an acetone solution of $CrO_3/H_2SO_4/H_2O$. Both the $CrO_3/H_2SO_4/H_2O$

mixture and that mixture in acetone are called the **Jones reagent** while the resultant oxidation reaction is called a **Jones oxidation**.

Besides being stable to oxidation, acetone dissolves many higher molecular mass alcohols that have relatively low solubility in water, and it is easy to remove from the reaction mixture because of its low boiling point (56°C). We symbolize a Jones oxidation by the set of reagents shown in the example in Figure 17.008.

Figure 17.008

Modified Cr(VI) Reagents. Organic chemists have developed modified Cr(VI) reagents that are weaker oxidizing agents than the Jones reagent and permit the formation of aldehydes without their subsequent oxidation to carboxylic acids. Three of these are complexes of pyridine with Cr(VI) species (Figure 17.009).

Figure 17.009

When used with the solvent dichloromethane (CH_2Cl_2), they conveniently convert 1° alcohols to aldehydes (Figure 17.010).

Figure 17.010

Organic chemists also use these pyridine complexes to convert 2° alcohols to ketones when another part of the molecule may be sensitive to the more vigorous conditions of acidic dichromate or acidic CrO₃ oxidizing agents.

Oxidation of Allylic Alcohols. Although milder oxidizing agents such as PCC are preferable, the Jones Reagent oxidizes 1° allylic alcohols to α,β -unsaturated aldehydes (Figure 17.011)[next page] without further conversion to carboxylic acids. This is because the conjugated C=O group of α,β -unsaturated aldehydes is less susceptible to further oxidation than C=O groups of unconjugated aldehydes..

Cr(VI) Oxidation Mechanisms. Mechanisms of Cr(VI) oxidations are complex with many steps. We show the general transformations that occur in oxidation of an alcohol to an aldehyde or ketone in Figure 17.012.

Figure 17.012

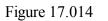
This summary shows there are intermediate Cr(V) and Cr(IV) species, and intermediate organic free radicals on the paths from alcohol to ketone or aldehyde.

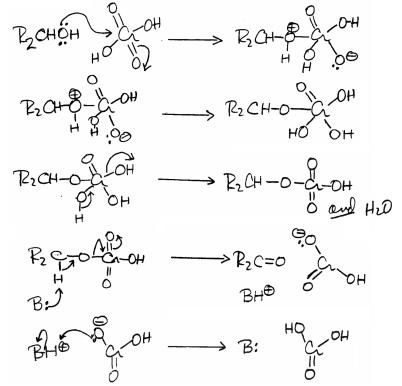
The "overall reaction" includes a series of steps in which the alcohol and Cr(VI) reagent form a "chromate ester" that subsequently gives a carbonyl compound and Cr(IV) via an elimination reaction (Figure 17.013).

Figure 17.013

The further oxidation of an aldehyde to a carboxylic acid has mechanistic steps analogous to those in Figures 17.012 and 17.013 for alcohol oxidation.

The reactions in Figure 17.013 are similar to nucleophilic acyl substitution reactions in Chapter 16. We show in Figure 17.014[next page] that the alcohol adds to a Cr=O bond (step 1) to give a pentavalent intermediate that subsequently loses hydroxide ion (step 3).



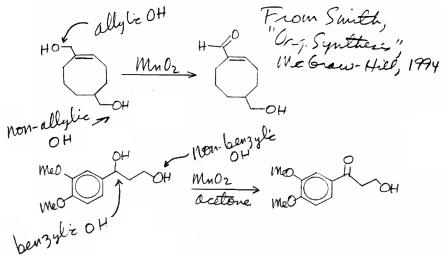


The intermediate reacts (steps 4 and 5) to give the ketone product and the Cr(IV) species.

Other Inorganic Oxidizing Agents (17.2B)

Besides Cr(VI) reagents, there are a variety of other inorganic oxidizing reagents that oxidize alcohols and aldehydes. We describe two of these below.

 MnO_2 . This Mn(IV) reagent selectively oxidizes allylic and benzylic alcohols to ketones or aldehydes (Figure 17.015) and the Mn(IV) is reduced to Mn(II).



OH groups that are not allylic or benzylic are not oxidized, and the aldehyde products do not further oxidize to carboxylic acids.

Sodium Hypochlorite (NaOCl). This simple inorganic reagent is frequently used in commercial applications of oxidation such as conversion of 2° alcohols to ketones (Figure 17.016).

Figure 17.016

 $\begin{array}{rl} \text{NaOCl} \\ \text{R_2CHOH} & \rightarrow & \text{R_2C=O} \\ & \text{CH_3CO_2H} \end{array}$

NaOCl is the active ingredient in commercial liquid bleach, so it is inexpensive and readily available.

Organic Oxidizing Agents (17.2C)

Several different types of organic oxidizing agents oxidize alcohols or carbonyl compounds.

Ketones to Esters. Although inorganic oxidizing agents generally do not oxidize ketones to useful products, we can transform ketones into esters by reactions with **peroxycarboxylic acids** such as **peroxytrifluoroacetic acid** (trifluoroperacetic acid) (Figure 17.017).

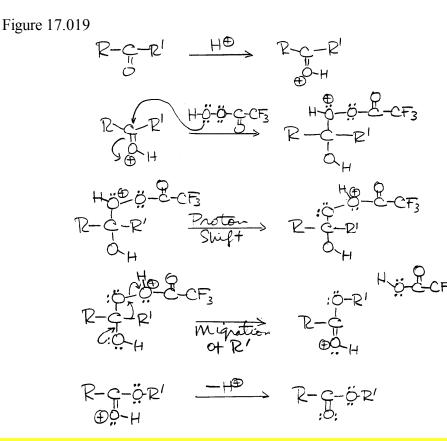
Figure 17.017

Synthesis of Peroxycarboxylic Acids. Peroxycarboxylic acids (or peracids) form from reactions of carboxylic acids with hydrogen peroxide (H₂O₂) as we show for trifluoroperacetic acid (Figure 17.018).

Figure 17.018

In the **Baeyer-Villiger rearrangement** (Figure 17.017), it appears that the peroxyacid inserts an O into the C-R' bond of the ketone (RC(=O)-R'). It is an oxidation reaction because O is added to the C=O carbon to give <u>C(=O)</u>-O while the oxidation number of the O transferred from the peracid decreases from -1 to -2 indicating that it is reduced.

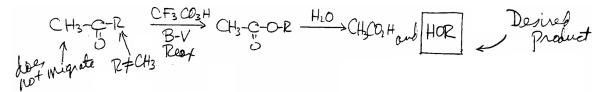
We show the mechanism of the reaction in Figure 17.019[next page].



A key step is migration of the R' group with its bonding electron pair from C to O (fourth step in Figure 17.019). The relative rate (*relative ease*) of migration of this R' group is R' = H $> 3^{\circ} > 2^{\circ}$, aryl $> 1^{\circ} > CH_3$.

This migration selectivity has synthetic utility. For example, we can convert compounds of the structure $R-C(=O)-CH_3$ exclusively into the alcohols R-OH by the sequence of reactions that we show in Figure 17.020a.

Figure 17.020a

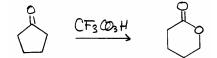


In contrast the facile migration ability of H transforms aldehydes R-C(=O)-H into the corresponding carboxylic acids R-C(=O)-OH (Figure 17.020b).

Figure 17.020b

The Baeyer-Villiger rearrangement also allows us to synthesize lactones from cycloalkanones.

Figure 17.021



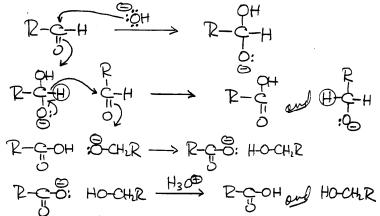
Aldehydes to Carboxylic Acids and Alcohols. We can convert aldehydes that have no α -H's into an equimolar mixture of their corresponding carboxylic acid and alcohol using a strong base such as sodium hydroxide (Figure 17.022).

Figure 17.022

In this **Cannizzaro reaction**, the carboxylic acid is an oxidation product of the aldehyde while the alcohol is a reduction product. As is the case in the *Baeyer-Villiger* reaction, one organic molecule is the reducing agent (and gets *oxidized*) while another organic molecule is the oxidizing agent (and gets *reduced*).

We outline the detailed mechanism in Figure 17.023.

Figure 17.023



The key step is the transfer of an H with its bonding electron pair (a hydride transfer) from the intermediate anion to another molecule of aldehyde. There is evidence that the intermediate anion can react again with ⁻OH to give the even more powerful hydride transfer agent that we show in Figure 17.024.

Alcohols to Ketones or Aldehydes. A simple ketone such as acetone $((CH_3)_2C=O)$ can serve as an oxidizing agent for the oxidation of a 1° or 2° alcohol to an aldehyde or ketone (Figure 17.025).

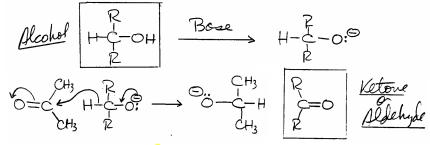
Figure 17.025

$$\mathbb{R}_{2} CHOH \xrightarrow{(CH_{3})_{2} C=0} \mathbb{R}_{2} C=0$$

$$Al(O-C(CH_{3})_{3})_{3}$$

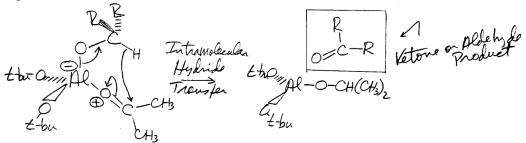
The mechanism of this **Oppenauer oxidation** reaction involves conversion of the alcohol (R_2CHOH) to be oxidized into an alkoxide species (R_2CHO^-) that transfers a hydride ion (the underlined <u>H</u>) to acetone. As a result, acetone is reduced and the alkoxide ion becomes a carbonyl compound (Figure 17.026).

Figure 17.026



Both the alkoxide species (R_2CHO^-) and acetone are bonded to Al in an aluminum trialkoxide molecule (Figure 17.027) formed by reaction of R_2CHOH with a molecule such as Al(O-C(CH₃)₃).

Figure 17.027

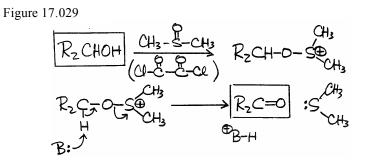


This hydride transfer reaction is similar to that in the *Cannizzaro reaction* shown earlier.

Dimethylsulfoxide. A more recent organic oxidizing agent is *dimethylsulfoxide* (*DMSO*) ((CH₃)₂S=O) that can oxidize primary alcohols and halogenated compounds to aldehydes or

ketones (Figure 17.028).

The mechanism of this Swern oxidation is complex. We show a part in Figure 17.029.

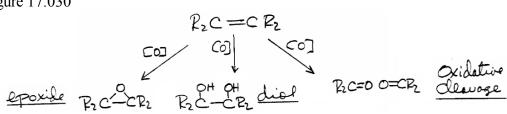


The alcohol is first converted to an intermediate sulfoxonium ion that decomposes to dimethylsulfide and the desired aldehyde.

17.3 Oxidation of Carbon-Carbon Multiple Bonds

There are a variety of oxidation reactions in which C=C bonds add oxygen or are cleaved to oxygenated products (Figure 17.030).

Figure 17.030



Addition of Oxygen to C=C Bonds (17.3A)

When oxygen adds to C=C bonds, the products are epoxides or 1,2-diols (Figure 17.030).

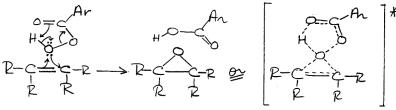
Epoxide Formation Using Peroxyacids. Epoxides (oxacyclopropanes) are products of oxidation of C=C bonds using *peroxycarboxylic acids* such as *m-chloroperbenzoic* acid, *perbenzoic* acid, or *peracetic* acid, (e.g. Figure 17.031).

Figure 17.031

$$R_2 C = CR_2 \xrightarrow{\text{MCPBA}} R_2 C \xrightarrow{\text{CR}_2} MCPBA \equiv \bigcirc -2 \xrightarrow{\text{CR}_2} -0 \xrightarrow{\text{CR}_2} MCPBA \equiv \bigcirc -2 \xrightarrow{\text{CR}_2} \xrightarrow{\text{CR}_2} -2 \xrightarrow{\text{CR}_2} \xrightarrow{\text{CR}_2} MCPBA \equiv \bigcirc -2 \xrightarrow{\text{CR}_2} \xrightarrow{\text{CR}_2}$$

The reaction mechanism is a single step (concerted) transfer of an oxygen atom to the C=C.

Figure 17.032



The transition state is the structure in the brackets.

Formation of syn-1,2-Diols Using OsO_4 *or* MnO_4^- . Osmium tetroxide (OsO₄), or *potassium permanganate* (KMnO₄) in aqueous base, react with alkenes to yield 1,2-diols (Figure 17.033).

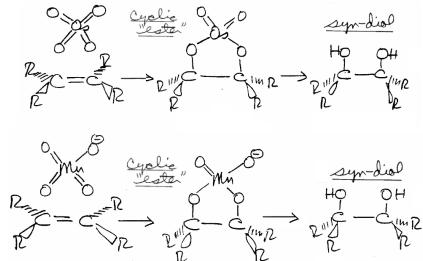
Figure 17.033

$$R_{2}C = CR_{2} \xrightarrow{1)O \rightarrow O_{4}} R_{2}C - CR_{2} \qquad R_{2}C = CR_{2} \xrightarrow{KM_{u}O_{4}} R_{2}C - CR_{2}$$

These reactions give stereospecific *syn* addition of the two OH groups because they involve the formation of intermediate cyclic inorganic "esters" shown in Figure 17.034 that

decompose to the diol in subsequent steps.

Figure 17.034



Osmium tetratoxide gives excellent yields of 1,2-diols, but it is toxic (it causes blindness) and expensive. *Potassium permanganate* is inexpensive and safer to use, but it gives much lower yields of diols. This is partly because it can cleave the C-C bond of the diol as we describe in a subsequent section.

Formation of anti-1,2-Diols. In order to obtain overall *anti* addition of two OH groups to a C=C bond, we first synthesize an epoxide and then open the 3-membered ring using aqueous acid (Figure 17.035).

Figure 17.035

$$P_2 C = CR_2 \xrightarrow{H_2 O_2} R_2 C \xrightarrow{Q} CR_2 \xrightarrow{H^{\oplus}} R_2 C \xrightarrow{Q} CR_2 \xrightarrow{H^{\oplus}} R_2 C \xrightarrow{Q} CR_2$$

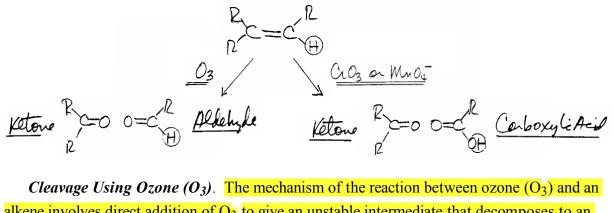
We can isolate the epoxide, but do not usually do this. We convert the alkene directly to the diol by treating it with an aqueous mixture of H_2O_2 and formic acid (a source of *performic*)

acid), or with *m-chloroperbenzoic* acid in H_2O_1 . The acidic aqueous reaction mixture causes ring opening of the intermediate epoxide.

Oxidative Cleavage of Carbon-Carbon Multiple Bonds (17.3B)

Oxidizing reagents that cleave C=C or C=C bonds as they add oxygen to the C atoms include ozone (O₃), CrO₃, and KMnO₄ (in <u>neutral</u> or <u>acidic</u> solution) (Figure 17.036).

Figure 17.036



Cleavage Using Ozone (O_3). The mechanism of the reaction between ozone (O_3) and an alkene involves direct addition of O_3 to give an unstable intermediate that decomposes to an ozonide intermediate (Figure 17.037).

Figure 17.037

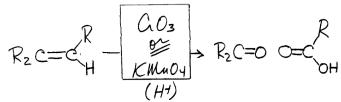
We do not isolate the ozonide (it usually is an explosive compound), but react it with zinc metal in acetic acid to give the product carbonyl compounds (Figure 17.038).

Figure 17.038

$$R_1 C \xrightarrow{Q \to Q} R_2 \xrightarrow{Z_1} R_2 C=0 \quad O=CR_2$$

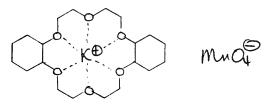
These carbonyl compounds are ketones or aldehydes depending on the substitution pattern on the double bond. Aldehyde products do not oxidize to carboxylic acids under these reaction conditions.

Cleavage Using CrO₃ or KMnO₄. The aldehyde products do oxidize further when they arise in reactions of alkenes with the powerful oxidizing agents CrO₃, or KMnO₄ in <u>neutral</u> or acidic solution. These reagents cleave the C=C bond, and oxidize each of the C=C carbons to the highest oxidation state consistent with their substitution patterns (Figure 17.039) [next page].



We obtain the highest yields of carbonyl compound products using KMnO4 dissolved in benzene containing a Crown ether. It permits $KMnO_4$ to dissolve in benzene by solvating the K⁺ ion as we show in Figure 17.040.

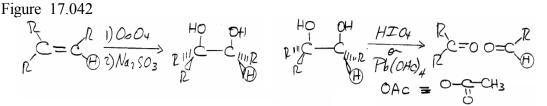
Figure 17.040



It is important for you to remember that KMnO₄ in <u>basic</u> solution yields 1,2-diols without cleavage of the original C=C bond. These 1,2-diols are likely intermediates when we react CrO_3 , or KMnO₄, with alkenes in <u>neutral</u> or <u>acidic</u> solution. However, under these reaction conditions they further oxidize to ketones and/or aldehydes, and aldehydes further oxidize to carboxylic acids (Figure 17.041.)

Figure 17.041

*Cleavage of 1,2-Diols Using HIO*₄ or *Pb*(*OAc*)₄. We can also oxidatively cleave C=C bonds using the sequence of two reactions in Figure 17.042.



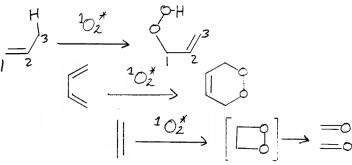
The 1,2-diols, from reaction of OsO₄ with alkenes, further oxidize to carbonyl compounds with **HIO₄** (**periodic acid**) or **Pb(OAc)₄** (**lead tetraacetate**) (Figure 17.042). This sequence of two separate reactions gives high yields of relatively pure products in each step. Once again, aldehydes are stable to further oxidation under these reaction conditions.

Oxidation Using Singlet Oxygen. Molecular oxygen (O_2) in air is an oxidizing agent and we describe one of its oxidation reactions ("**autoxidation**") in the next section on oxidation of alkyl groups. This atmospheric O_2 exists in a "triplet" electronic state (symbolized as ${}^{3}O_2$). In that electronic state, the O_2 molecule acts like it is a free radical ${}^{\circ}O$ -O ${}^{\circ}$ with an un<u>paired</u> electron on

each O atom. [There are two un<u>shared</u> pairs of electrons on each O that we do not show here.]

 O_2 can also exist in a "singlet" electronic state (1O_2) that has no un<u>paired</u> electrons. We can represent it as O=O, and it has very different chemical properties than triplet oxygen [Note that once again we have omitted the two unshared pairs of electrons on each oxygen.]. We show three types of reactions of singlet oxygen with molecules containing C=C bonds in Figure 17.043.

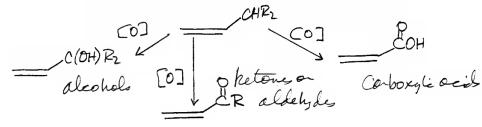




In the first reaction, *singlet* O₂ reacts with an alkene to form a hydroperoxide in which the C=C bond has rearranged. In the second reaction, *singlet* oxygen adds to the end carbons of a conjugated diene to give a cyclic peroxide. Finally in the third reaction, *singlet* oxygen adds across a C=C bond to give a four-membered cyclic peroxide called a **dioxetane**. The *dioxetane* is an unstable intermediate that fragments into carbonyl compounds as we show in the figure. We can form *singlet* O₂ chemically by reaction between H₂O₂ and NaOC1. It also forms by irradiation of O₂ with light in the presence of organic molecules called **photosensitizers**.

17.4 Oxidation of Alkyl Groups

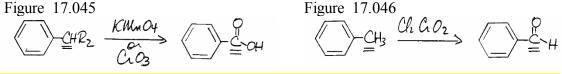
Several different reagents oxidize alkyl groups (R) bonded to double bonds (allylic R groups), or to aromatic rings (benzylic R groups). The products can be alcohols, ketones or aldehydes, and carboxylic acids (Figure 17.044).



Metal Oxide Oxidations (17.4A)

Oxidizing agents include *potassium permanganate* (KMnO₄), *chromium trioxide* (CrO₃), *chromyl chloride* (Cl₂CrO₂), and *selenium dioxide* (SeO₂).

 $KMnO_4$ and CrO_3 . The strong oxidizing agents $KMnO_4$ or CrO_3 oxidize alkyl groups on aromatic rings to carboxylic acid groups (directly attached to the ring) if there is at least one H on the C attached to the ring (Figure 17.045).



These reagents are so powerful that we limit their use to simple aromatic systems with no other oxidizable functional groups.

 Cl_2CrO_2 . In contrast, the milder oxidizing agent *chromyl chloride* (Cl₂CrO₂) oxidizes methyl groups on aromatic rings to aldehyde groups without further oxidation to carboxylic acids (Figure 17.046)[see above].

*SeO*₂ *Oxidations*. Even more selectively, *selenium dioxide* (SeO₂) oxidizes allylic R groups to alcohols, and benzylic R groups to alcohols or carbonyl compounds (Figure 17.047).

Figure 17.047

$$\xrightarrow{3}_{1} \xrightarrow{2}_{2} \xrightarrow{2}_{2} \xrightarrow{3}_{0} \xrightarrow{0}_{1} \xrightarrow{2}_{2} \xrightarrow{0}_{1} \xrightarrow{2}_{2} \xrightarrow{0}_{1} \xrightarrow{2}_{2} \xrightarrow{0}_{1} \xrightarrow{1}_{2} \xrightarrow{0}_{1} \xrightarrow{0} \xrightarrow{0}_{1} \xrightarrow{0}_{1} \xrightarrow{0}_{1} \xrightarrow{0}_{1} \xrightarrow{0}_{1} \xrightarrow{0}_{1} \xrightarrow{0}_$$

This reagent is particularly useful because it does not oxidize other functional groups that may be oxidized by $KMnO_4$ or CrO_3 . For example, the double bonds in the allylic systems above are easily oxidized by either $KMnO_4$ or CrO_3 as we previously described, but are stable to SeO_2 . SeO_2 also selectively oxidizes CH_2 groups attached (α) to carbonyl groups (Figure 17.048).

Figure 17.048

Figure 17.049

$$R-H \xrightarrow{O_2} R-OOH$$

O2 Oxidations (Autoxidation) (17.4B)

Atmospheric oxygen (O_2) sometimes reacts with organic compounds to give peroxides (Figure 17.049)[see above]. This **autoxidation** reaction is generally an unwanted occurrence that organic chemists try to avoid by keeping reaction mixtures or stored samples of organic compounds free of oxygen. *Autooxidation* is catalyzed by light so organic compounds are usually packaged in bottles that are opaque or made of dark brown glass.

Autoxidation Mechanism. *Autoxidation* has a free radical chain mechanism that we partially outline in Figure 17.050.

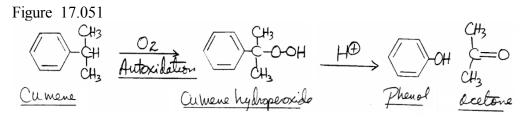
Figure 17.050

R. _____ R-0-0. ____ R-0-0-H .R

(We showed other examples of free radical chain reactions in Chapter 11).

While the two "propagation" steps (Figure 17.050) are fast reactions, autoxidation is usually not a rapid process because we need to use catalysts (**free radical initiators**) to generate the initial R^{\bullet} or RO_2^{\bullet} that start an oxidation chain. Atmospheric oxygen is usually not sufficiently reactive to spontaneously generate these radicals by direct reaction with an organic compound.

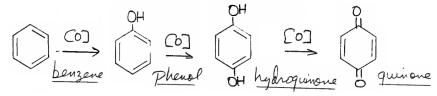
Synthetic Utility. Autoxidation has only limited synthetic utility because so many types of C-H bonds can be converted to C-OOH groups that it is difficult to have selective reactions. However, one important industrial process using autoxidation is the conversion of **2-propylbenzene** (commonly named **cumene**) to *phenol* and *acetone* (Figure 17.051).



The particular C-H of *cumene* that is abstracted is much more reactive than any of the other C-H's because it is both 3° and *benzylic* (on a C directly attached to an aromatic ring). The formation of the hydroperoxide (usually called **cumene hydroperoxide**) is the actual autooxidation process. The decomposition of this peroxide to phenol and acetone involves subsequent ionic reactions that occur after oxidation.

17.5 Phenols, Hydroquinones, and Quinones

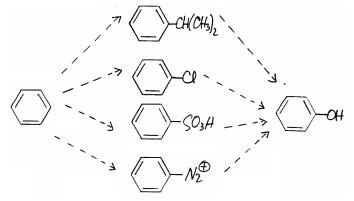
We can think of phenols, hydroquinones, and quinones (Figure 17.052), as successive oxidation products of benzene.



Formation of Phenols (17.5A)

It is difficult to directly add an OH group to a benzene ring, so we usually synthesize phenols by transforming another functional group, already on an aromatic ring, into an OH group. We summarize several such reaction sequences in Figure 17.053 and describe them in the following sections.

Figure 17.053



In each case, we form the intermediate substituted benzene directly or indirectly by an electrophilic aromatic substitution reaction on benzene.

From Cumene. Organic chemists prepare *phenol* commercially by autoxidation of cumene as we just described (Figure 17.051). Cumene comes from the Friedel-Crafts alkylation (Chapter 12) of benzene (Figure 17.054).

Figure 17.054

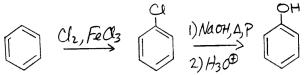
$$\underbrace{(\mathcal{H}_{3}\mathcal{C}\mathcal{H}=\mathcal{C}\mathcal{H}_{2})}_{\mathcal{H}\mathcal{O}_{3}} \xrightarrow{\mathcal{O}_{2}}_{\mathcal{C}\mathcal{H}} \underbrace{(\mathcal{H}_{3})_{2}}_{h\mathcal{V}} \xrightarrow{\mathcal{O}_{2}}_{\mathcal{C}\mathcal{C}\mathcal{O}\mathcal{H}} \xrightarrow{\mathcal{H}\mathcal{D}}_{\mathcal{C}\mathcal{C}\mathcal{O}\mathcal{H}} \xrightarrow{\mathcal{H}\mathcal{D}}_{\mathcal{C}\mathcal{H}_{3}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{C}\mathcal{H}_{3}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{C}\mathcal{H}_{3}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{C}\mathcal{H}_{3}} \xrightarrow{\mathcal{O}_{2}}_{\mathcal{C}\mathcal{H}_{3}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{C}\mathcal{H}_{3}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{C}\mathcal{H}_{3}} \xrightarrow{\mathcal{O}_{2}}_{\mathcal{C}\mathcal{H}_{3}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{C}\mathcal{H}_{3}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{C}\mathcal{H}_{3}} \xrightarrow{\mathcal{O}_{2}}_{\mathcal{C}\mathcal{H}_{3}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{O}_{3}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{O}_{3}} \xrightarrow{\mathcal{O}_{3}}_{\mathcal{O}_{3}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{O}_{3}} \xrightarrow{\mathcal{O}_{3}}_{\mathcal{O}_{3}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{O}_{3}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{O}_{3}} \xrightarrow{\mathcal{O}_{3}}_{\mathcal{O}_{3}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{O}_{3}} \xrightarrow{\mathcal{O}_{3}}_{\mathcal{O}_{3}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{O}_{3}} \xrightarrow{\mathcal{O}_{3}}_{\mathcal{O}_{3}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{O}_{3}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{O}_{3}} \xrightarrow{\mathcal{O}_{3}}_{\mathcal{O}_{3}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{O}_{3}} \xrightarrow{\mathcal{O}_{3}}_{\mathcal{O}_{3}}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{O}_{3}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{O}_{3}} \xrightarrow{\mathcal{O}_{3}}_{\mathcal{O}_{3}}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{O}_{3}} \xrightarrow{\mathcal{O}_{3}}_{\mathcal{O}_{3}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{O}_{3}} \xrightarrow{\mathcal{O}_{3}}_{\mathcal{O}_{3}}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{O}_{3}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{O}_{3}} \xrightarrow{\mathcal{O}_{3}}_{\mathcal{O}_{3}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{O}_{3}} \xrightarrow{\mathcal{O}_{3}}_{\mathcal{O}_{3}}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{O}_{3}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{O}_{3}} \xrightarrow{\mathcal{O}_{3}}_{\mathcal{O}_{3}}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{O}_{3}} \xrightarrow{\mathcal{O}_{3}}_{\mathcal{O}_{3}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{O}_{3}} \xrightarrow{\mathcal{O}_{3}}_{\mathcal{O}_{3}} \xrightarrow{\mathcal{O}_{3}}_{\mathcal{O}_{3}} \underbrace{(\mathcal{H}_{3})_{2}(=0)}_{\mathcal{O}_{3}} \xrightarrow{\mathcal{O}_{3}}_{\mathcal{O}_{3}} \xrightarrow{\mathcalO}_{3}} \xrightarrow{\mathcalO}_{3} \xrightarrow{\mathcalO$$

This procedure also gives substituted *phenols* by autoxidation of ring-substituted *cumenes*.

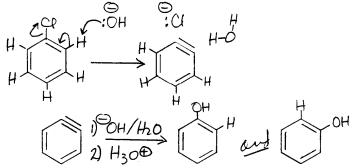
From Aryl Halides. Another commercial preparation of phenol involves reaction of

chlorobenzene with sodium hydroxide at high temperature and pressure (Figure 17.055).

Figures 17.055/17.057



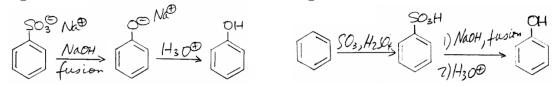
(We synthesize chlorobenzene from benzene by electrophilic aromatic chlorination (Chapter 12)) (Figure 17.057). While it appears that HO⁻ might displace Cl⁻ by nucleophilic substitution, this reaction has an elimination-addition mechanism (Figure 17.056)[next page] in which benzyne (C₆H₄) (Chapter 12) is an intermediate.



From Arylsulfonic Acids. When we "fuse" arylsulfonic acid salts (Ar-SO₃-Na⁺) with sodium hydroxide at high temperatures, we replace the SO₃- group with an O⁻ group. Subsequent protonation with acid gives phenols as shown in Figure 17.058.

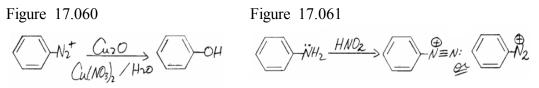
Figure 17.058

Figure 17.059



We synthesize arylsulfonic acids from the aromatic compound by electrophilic aromatic sulfonation. and show an overall reaction sequence for conversion of benzene to phenol *via* arylsulfonic acids in Figure 17.059 [see above].

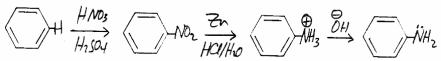
From Diazonium Ions. The most general method for substituting OH on an aromatic ring is replacement of an N_2^+ group (a **diazonium** group) by an OH group (Figure 17.060).



We form N_2^+ groups from NH₂ groups by a *nitrosation* reaction (Figure 17.061) [see above].

While there is no synthetically useful direct method to place an NH_2 group on an unsubstituted aromatic ring, we can synthesize amino benzenes and other amino arenes by reducing a nitro group on an aromatic ring (Chapter 12) (Figure 17.062).

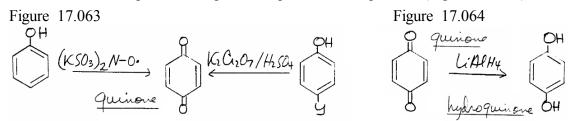
Figure 17.062



We describe this conversion of NO_2 into NH_2 in the section on reduction reactions later in this chapter.

Formation of Quinones and Hydroquinones (17.5B)

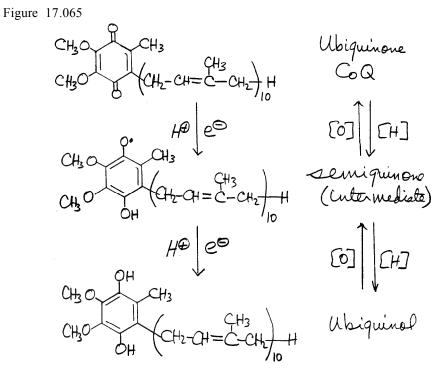
Quinones are oxidation products of phenol or *p*-substituted phenols (Figure 17.063).



Fremy's salt ((KSO₃)₂N-O·) is a free radical that oxidizes phenol by a free radical reaction mechanism. We oxidize the *p*-substituted phenols (Y = OH, NH₂, X, OR, R), with $Cr_2O_7^{-2}$ in H₂SO₄.

We can reduce quinones to hydroquinones using lithium aluminum hydride (Figure 17.064) [see above]. We describe this reaction and the reducing reagent (LiAlH₄) in a later section. We can reoxidize hydroquinones to quinones as we previously showed in Figure 17.063.

Quinones and Hydroquinones are Biologically Important. The quinone **ubiquinone**, known as **coenzyme Q** (**CoQ**) (Figure 17.065), is important in biological electron transport.



It accepts an electron (is reduced) forming an intermediate *semiquinone* that can also accept an electron (be reduced) to give the hydroquinone **ubiquinol** shown above. *Ubiquinol* donates electrons to *cytochromes* that give electrons to molecular oxygen thereby reducing it.

17.6 Reduction Reactions

The reverse of each oxidation reaction in the previous sections is a reduction reaction.

General Features (17.6A)

The characteristics of reduction reactions are opposite to those of oxidation reactions. As a result, organic molecules *lose* oxygen and/or *gain* hydrogen in reduction reactions.

While oxidation and reduction are equally important processes, we often describe *reduction* reactions using terms associated with *oxidation*. For example, when we reduce a molecule, we say it is in a "lower *oxidation* state" rather than in a "higher reduction state". Similarly, we measure the extent of reduction of a C atom by its "*oxidation* number". Finally, we describe the relative levels of reduction of various compounds using their "relative *oxidation* levels" as in Table 17.01.

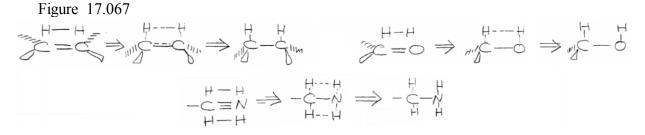
Types of Reduction Reactions (17.6B)

We show important types of reduction reactions in Figure 17.066 [next page]. We use the general symbol [H] to designate reduction because usually we add one or more H's to the molecule during its reduction. These H's typically come from molecular hydrogen (H₂) or from metal hydride reagents that we describe below.

Figure 17.066

	[H]	
$RC=CR \text{ or } R_2C=CR_2$	\rightarrow	RCH=CHR or R_2 CH-CH R_2
Alkynes or Alkenes		Alkenes or Alkanes
-	[H]	
R ₂ C=O	\rightarrow	R ₂ CHOH or R ₂ CH ₂
Ketones or Aldehydes		Alcohols or Alkyl Groups
	[H]	
R-C(=O)-Z	\rightarrow	R-C(=O)-H or R-CH ₂ OH
Carboxylic Acid Derivatives		Aldehydes or Alcohols
-	[H]	
$R-C=N \text{ or } R-NO_2$	\rightarrow	R-CH ₂ -NH ₂ or R-NH ₂
Nitriles or Nitro compounds		Amines
-		

Reduction Using H₂. In many of these reactions, it appears that one or more molecules of H₂ adds across a multiple bond (Figure 17.067).



In fact, molecular H_2 in the presence of various metal catalysts can *reduce* most multiple bonds. We describe this **catalytic hydrogenation** at the end of Chapter 10 for reduction of C=C and C=C bonds and show examples in Figure 17.068.

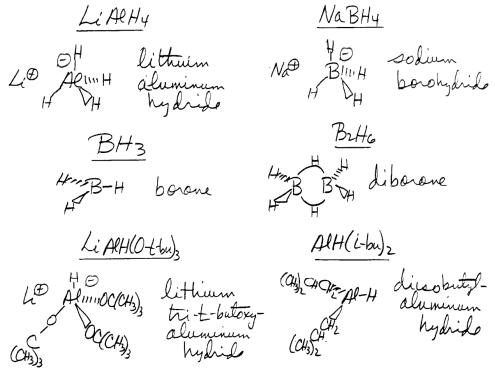
Figure 17.068

$$R_2 C = CR_2 \frac{H_2}{Metal (dtal y t R)^H} \frac{H}{R} \frac{H}{R} R C = CR \frac{H_2}{Metal (dtal y t R)} \frac{H}{R} C = CR \frac{H}{R}$$

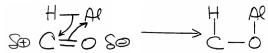
Since catalytic hydrogenation also reduces C=O, C=N, and C=N bonds, this process often simultaneously reduces most or all of the multiple bonds in a molecule. Because of this lack of selectivity, we generally use catalytic hydrogenation to reduce C=C and C=C bonds in molecules where other types of multiple bonds are not present or are protected.

Metal Hydride Reagents. Organic chemists have developed a variety of metal hydride reagents that specifically reduce various types of multiple bonds. We show some particularly important examples that we describe in this chapter in Figure 17.069.

Figure 17.069



All of these reagents contain a boron (B) or aluminum (Al) atom bonded to one or more H atoms. They reduce multiple bonds by transferring an H with its bonding electron pair (a *hydride ion*) from B or Al to the positively polarized C atoms in C=O, C=N, and C=N bonds. We show a general representation of this type of reaction for an "Al-H" reagent and a C=O bond in Figure 17.070 [next page].



Presentation of Reduction Reactions. We describe the use of these reducing agents to carry out particular types of reduction reactions in the following sections titled *Reduction of Ketones and Aldehydes* (17.7), *Reduction of R-C(=O)-Z and Related Compounds* (17.8), and *Reduction of C=C and C=C Bonds* (17.9).

We gave a detailed presentation of the reduction reactions of alkenes and alkynes in Chapter 10, however, we review these reactions again in section 17.9 along with a discussion of related reduction reactions of benzenoid aromatic systems (arenes).

17.7 Reduction of Ketones and Aldehydes

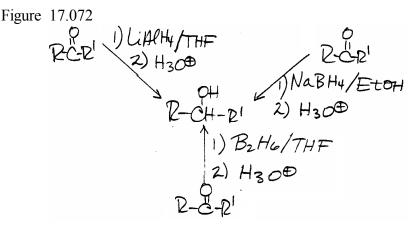
Reduction of ketones or aldehydes transforms their C=O groups to alcohol groups (HC-OH), or to CH_2 groups (Figure 17.066). We list specific reactions in this section in Figure 17.071.

Figure 17.071

$$\begin{array}{c} P \\ R \\ - P \\$$

Alcohols from Metal Hydride Reductions (17.7A)

We show typical reaction conditions for LiAlH₄, NaBH₄, or B₂H₆ reductions of aldehydes or ketones to give alcohols in Figure 17.072.



Because of the relatively low reactivity of $NaBH_4$, we can use a protic solvent such as ethanol when $NaBH_4$ is the reducing agent. In contrast, for $LiAlH_4$ reductions we must use

aprotic solvents such as ethers. LiAlH₄ reacts violently with alcohols or other protic solvents giving molecular H₂ (Figure 17.073) that subsequently undergoes combustion with atmospheric oxygen.

Figure 17.073

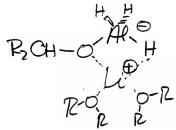
Figure 17.074

Lit APH4 HROH Lit ALOR)4 WH HZ

LiAlH₄ Mechanism. The mechanism for LiAlH₄ reduction of a C=O group is complex with many reaction intermediates. In the first step, AlH₄- transfers an H atom with its electron pair (a "hydride") to the C=O carbon (Figure 17.074)[see above]. In this step, the C=O double bond becomes an H-C-O single bond and the carbon atom is reduced.

The resultant intermediate R_2 CH-O-AlH₃⁻ is probably complexed with Li⁺ and solvent molecules (usually diethyl ether or THF) (Figure 17.075).

Figure 17.075



This intermediate can add hydride to unreacted $R_2C=O$ because it has Al-H bonds. However, it can also participate in a series of reactions that ultimately leads to the formation of (R_2CH-O)₄Al⁻ and AlH₄⁻ (Figure 17.076).

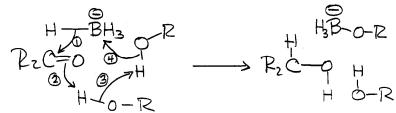
Figure 17.076

$$\frac{4}{2} \mathbb{R}_2 \mathbb{C} H - 0 - \overline{\mathbb{A}^2} \mathbb{H}_3 \longrightarrow (\mathbb{R}_2 \mathbb{C} H - \mathbb{C})_4 \overline{\mathbb{A}^2}_{out} \xrightarrow{3} \overline{\mathbb{A}^2} \mathbb{H}_4$$

As a result, hydride transfer can occur from a variety of species. The alcohol product ultimately forms by hydrolysis of $(R_2CH-O)_4Al^-$ (Figure 17.077).

Figure 17.077

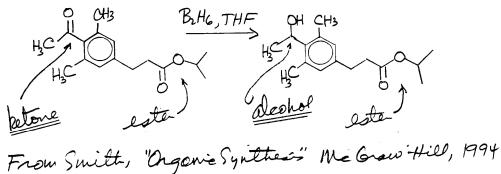
*NaBH*₄*Mechanism*. In the NaBH₄ mechanism, ethanol (shown as R-OH) may transfer a proton to the O of the ketone or aldehyde simultaneously with hydride transfer from BH_4^- to the C (Figure 17.078) [next page].



After reduction of the carbonyl compound, aqueous acid neutralizes the basic reaction mixture (Figure 17.072). Intermediates such as CH₃CH₂O-BH₃ may also serve as hydride ion donors to unreacted carbonyl compound.

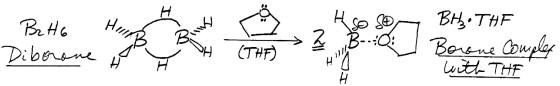
Alcohols from Diborane Reduction. Organic chemists use **diborane** (B_2H_6) less frequently than either LiAlH₄ or NaBH₄ to reduce ketones or aldehydes, but it is a useful reagent because of its selectivity (Figure 17.079).

Figure 17.079

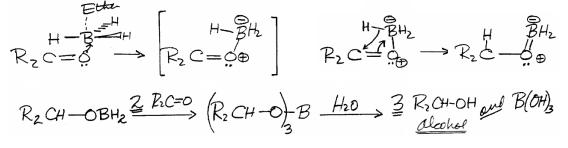


The hydride transfer reagent is probably BH_3 that forms from B_2H_6 in the reaction mixture as we described in Chapter 10 and show again in Figure 17.080.

Figure 17.080



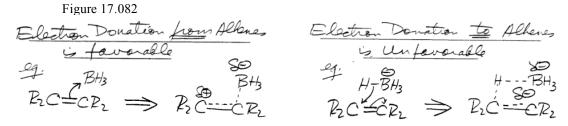
We give a mechanism for diborane reduction of a C=O group in Figure 17.081.



Neutral versus Complex Metal Hydrides. Organic chemists classify lithium aluminum hydride (LiAlH₄) and sodium borohydride (NaBH₄) as *complex metal hydrides* because they are ionic compounds with negatively charged AlH₄⁻ and BH₄⁻ ions. In contrast, neutral metal hydrides such as BH₃ (that exists in its dimeric form B₂H₆), have no charge. As a result, there is a profound difference in their reactivity and selectivity.

Both AlH₄⁼ and BH₄⁼ are <u>electron rich</u> species that react by initially donating a hydride to the carbon atom of the multiple bond (Figures 17.074 and 17.078). In contrast, BH₃ is an <u>electron</u> <u>deficient</u> *Lewis Acid*. In the first step of its reactions with C=O, C=N, and C=N bonds, it bonds to an unshared electron pair on the heteroatom O or N. Subsequently, there is an intramolecular hydride transfer to C as we showed in Figure 17.081.

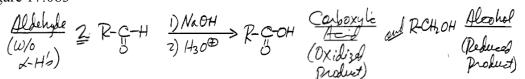
Because BH₃ is electron deficient (*electrophilic*), it also reacts with C=C and C=C bonds (Chapter 10). C=C and C=C bonds prefer to donate electron density to electrophilic species rather than accept it from electron rich nucleophilic species such as BH₄⁻ and AlH₄⁻ (Figure 17.082).



Alcohols from Organic Reducing Agents (17.7B)

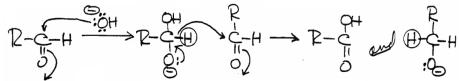
We can form alcohols by reduction of ketones or aldehydes using two organic reactions that we have previously discussed as oxidation reactions. These are the *Cannizzaro reaction* and the **Meerwein-Ponndorf-Verley reduction** that is the reverse of the *Oppenauer oxidation*.

Cannizzaro Reaction. We show the Cannizzaro reaction again in Figure 17.083. Figure 17.083



Two molecules of an aldehyde (without α -H's) simultaneously oxidize and reduce giving an alcohol and a carboxylic acid. We gave the full mechanism earlier in Figure 17.023 and show the first steps again in Figure 17.083a.

Figure 17.083a



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This hydride transfer is analogous to those in metal hydride reductions, but the hydride transfer agent is a negatively charged organic compound.

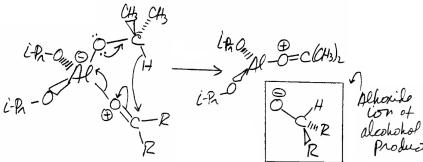
Meerwein-Ponndorf-Verley Reduction. The *M-P-V* reduction is the reverse of the *Oppenauer oxidation* presented earlier. Treatment with $Al(O-CH(CH_3)_2)_3$ (aluminum triisopropoxide) in isopropyl alcohol (2-propanol) reduces ketones or aldehydes to alcohols (Figure 17.084).

Figure 17.084

Aldehyde RzC=0
$$\frac{Al(O-CH(CH_3)_2)_3}{CH_3CH-CH_3}$$
 RzCHOH Aleshol
H

As in the Cannizzaro reaction, there is a hydride transfer to the carbonyl compound that forms the alkoxide ion of the desired product alcohol (Figure 17.085).

Figure 17.085



You can see that the hydride comes from the isopropoxide group (1-methylethoxide group) in aluminum triisopropoxide.

Alkyl Groups from C=O Reduction (17.7C)

We can convert the C=O group of ketones and aldehydes into a CH₂ group (Figure 17.086),

by the Clemmensen reduction or the Wolff-Kishner reaction.

Figure 17.086

R2C=O

$$R_2C=O$$

 $R_2C=O$
 $R_2C=O$
 R_2CH_2
 R_2CH_2

Clemmensen Reduction. We carry out this reaction by treating an aldehyde or ketone with **zinc amalgam** (Zn treated with mercury metal (Hg)) in aqueous HCl (Figure 17.087).

$$\mathbb{R} \xrightarrow{-\mathbb{C} - \mathbb{R}'} \xrightarrow{\mathbb{C} \to (H_3)} \mathbb{R} \xrightarrow{-\mathbb{C} \to \mathbb{R}'} \xrightarrow{\mathbb{C} \to \mathbb{C} \to \mathbb{R}'} \mathbb{R} \xrightarrow{\mathbb{C} \to \mathbb{C} \to \mathbb{R}'}$$

Because this reaction uses aqueous HCl, it is not useful for compounds that are sensitive to acid. (In those cases we can use the reaction described in the next section.) While its mechanism is uncertain, the corresponding alcohol R₂CHOH is not formed as an intermediate.

Wolff-Kishner Reaction. In this reaction, treatment of an aldehyde or ketone with H₂N-NH₂·H₂O (hydrazine hydrate) and NaOH in a high boiling solvent such as refluxing diethylene glycol (HOCH₂CH₂OCH₂CH₂OH) (Figure 17.088) transforms their C=O groups into CH₂ groups.

Figure 17.088

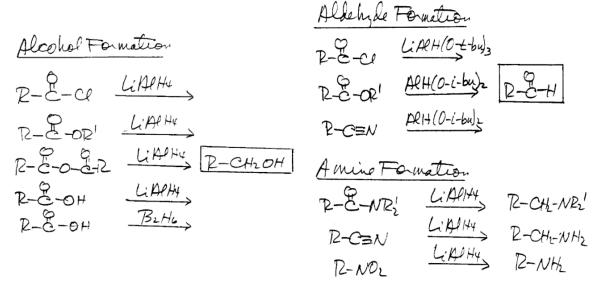
We briefly described the *Wolff-Kishner reaction* in Chapter 16 because its mechanism involves nucleophilic addition of hydrazine to the C=O group to form the intermediate hydrazone (Figure 17.089)[see above]. This intermediate reacts further with HO⁻ in the reaction mixture to form N₂ and the final organic product (Figure 17.090).

Figure 17.090

Because the reaction medium is basic, we can use the *Wolff-Kishner reaction* with compounds sensitive to the acidic conditions of the *Clemmensen reduction*.

17.8 Reduction of R-C(=O)-Z and Related Compounds

When we reduce *esters*, *amides*, or other compounds of the structure R-C(=O)-Z, possible products are *alcohols*, *amines*, or *aldehydes*. The type of product depends on the structure of R-C(=O)-Z and that of the metal hydride reducing agent (Figure 17.091)[next page]. We describe reductions of R-C(=O)-Z in this section along with those of *nitriles* (R-C=N) and *nitro compounds* ($R-NO_2$).



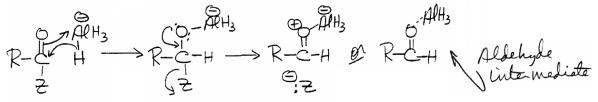
Alcohol Formation (17.8A)

LiAlH₄ reduction of R-C(=O)-Z compounds <u>except</u> amides (Z = NR'₂) gives *alcohols* (R-CH₂-OH) (Figure 17.091). *Aldehydes* are intermediates in these reactions (Figure 17.092).

Figure 17.092

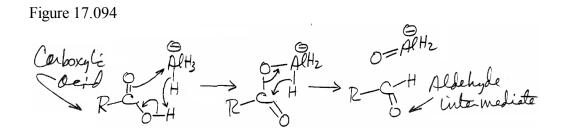
*General LiAlH*₄ *Mechanism*. We show a general mechanism for LiAlH₄ reduction of R-C(=O)-Z other than amides in Figure 17.093.

Figure 17.093



After the initial hydride addition to the C(=O)-Z group, Z^{-} leaves to give an aldehyde intermediate that AlH₄⁻, or another other reactive intermediate with Al-H bonds, reduces further to an alcohol (Figure 17.074).

Carboxylic Acid Reduction. When we reduce carboxylic acids with LiAlH₄, an initial acid-base reaction forms a carboxylate intermediate complexed to AlH₃ (Figure 17.094) [next page]. An intramolecular hydride transfer reaction in this complex then leads to reduction of the carboxylate functional group to an aldehyde that is further reduced to an alcohol.



Diborane Reduction of Carboxylic Acids. We can also reduce carboxylic acids to alcohols using B_2H_6 (Figure 17.095).

Figure 17.095

$$\mathbb{R} - \mathbb{C} - \Theta H \xrightarrow{(1)} \mathbb{B}_2 H_6 / THF} \mathbb{R} - \mathbb{C} H_0 OH$$

While LiAlH₄ reduces acids, it also reacts with any other C=O groups that are present in the molecule. In contrast, diborane does not reduce other R-C(=O)-Z groups that may be present.

Amine Formation (17.8B)

Amines are the products when we reduce amides (R-C(=O)-NR'₂), nitriles (R-C=N), or nitro compounds (R-NO₂) with LiAlH₄ (Figure 17.091).

Reduction of Amides. LiAlH₄ reduction of amides $(R-C(=O)-NR'_2)$ gives 1° amines $(R-CH_2-NH_2)$, 2° amines $(R-CH_2-NHR')$ or 3° amines $(R-CH_2-NR'_2)$ depending on the the number of H's on N. The intermediate formed in the first reaction of LiAlH₄ with an amide (Figure 17.096) is equivalent to that formed in the first reaction when LiAlH₄ reacts with other R-C(=O)-Z compounds (Figure 17.093).

Figure 17.096

However in the case of amides, the Z group is NR'_2 and the $^{-}NR'_2$ anion is such a poor leaving group that an "O-Al" anion leaves instead (the second reaction in Figure 17.096) giving an intermediate iminium ion. Subsequent reduction of that iminium ion (or its imine form) gives the amine as we show in the third reaction in that figure.

Reduction of R-C=N and R-NO₂. Reduction of nitriles (R-C=N) has a stepwise mechanism in which C=N becomes C=N and finally a C-N bond (Figure 17.097)[next page].

Although we give no mechanism for reduction of nitro compounds (R-NO₂), this reaction is particularly useful for synthesis of *anilines* from *nitrobenzenes* (Figure 17.098).

Figure 17.098

Aldehyde Formation (17.8C)

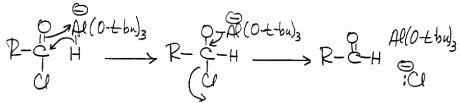
We obtain *aldehydes* as final products when we use the modified aluminum hydride reagents in Figure 17.091 to reduce *acid halides* ((R-C(=O)-Cl), *esters* ((R-C(=O)-OR'), or *nitriles* (R-C=N).

*Acid Halides and LiAlH(O-C(CH₃))*₃. One of these modified reagents is **lithium** *tri-t*-**butoxyaluminum hydride** (LiAlH(O-C(CH₃))₃) that we form by reaction of LiAlH₄ with t-butyl alcohol (Figure 17.099).

Figure 17.099

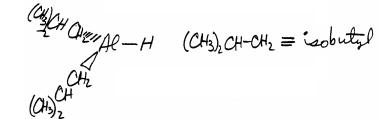
The reaction stops after three $OC(CH_3)_3$ groups replace three of the four H's on Al. This is a result of a combination of steric crowding at the Al atom, and reduced reactivity of the final Al-H hydride because of electronic effects of the new t-butoxy group substituents. This metal hydride probably reacts with acid halides according to the scheme in Figure 17.100.

Figure 17.100



The intermediate must decompose to give aldehyde after all of the AlH(O-t-bu)₃⁻ reagent has reacted since unreacted reactant will reduce aldehydes to alcohols.

Esters and Diisobutylaluminum Hydride (DIBAL). DIBAL is a metal hydride with the general structure R_2AIH where R = isobutyl (Figure 17.101) [next page].



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It reacts with esters as we show in Figure 17.102.

Figure 17.102

The intermediate gives an aldehyde when we react the reaction mixture with aqueous acid. An intermediate hemiacetal also forms as shown in that figure.

Nitriles and DIBAL. DIBAL reduces nitriles (Figure 17.103).

Figure 17.103

$$R-C = N: \xrightarrow{H} R = N \xrightarrow{A-i-bu} \xrightarrow{H_{20}} R \xrightarrow{C-H}$$

The intermediate in the first reaction does not react further with DIBAL because of steric hindrance. During treatment of the reaction mixture with aqueous acid, it hydrolyzes to give an aldehyde. This reaction is equivalent to the formation of an aldehyde from an imine by hydrolysis (Chapter 16).

Rosenmund Reduction. We can also reduce *acid halides* to *aldehydes* using molecular H_2 instead of metal hydrides. We show this **Rosenmund reduction** in Figure 17.104. The second set of reaction conditions involving Pd(C) is often the best choice.

$$\begin{array}{ccc} H_2, Pd(BaSO_4) \\ R-C(=O)-Cl & \rightarrow & R-C(=O)-H \\ & \underline{Or} \\ H_2, Pd(C) \\ R-C(=O)-Cl & \rightarrow & R-C(=O)-H \\ & Et(i-Pr)_2N, acetone \end{array}$$

17.9 Reduction of C=C and C=C Bonds

We discussed reduction of alkenes and alkynes (Figure 17.105) in Chapter 10.

Figure 17.105

$$P_2C = CP_2 \xrightarrow{CH} R_2CH - CHP_2$$
 $PC = CR \xrightarrow{CH} RCH = CHR$

We review that material again here along with a brief discussion of arene reduction.

Reduction of Alkenes and Alkynes (17.9A)

Reductions of alkenes and alkynes generally involve catalytic hydrogenation. In the case of alkyne reduction to alkenes, catalytic hydrogenation takes place with *cis* addition of H_2 . We can also reduce alkynes using Na in liquid NH₃ with different stereochemical results then catalytic hydrogenation (Figure 17.106.)

 Γ 17.10(

Figure 17.106

$$P_2C = CP_2 \xrightarrow[wata]{H_2}{H_2} \Rightarrow P_2CH - CH P_2 \qquad PC = CP \qquad \frac{Mata}{NH_2} \Rightarrow H = C^{H}$$

The reaction of alkenes with diborane to give alkylboranes (Chapter 10) (Figure 17.107) is also a reduction reaction.

Figure 17.107

Figure 17.108

$$R_2 C = CR_2 \xrightarrow{B_1 H_6} R_2 C - CR_2 \qquad \begin{pmatrix} R_2 CH - C \\ R_2 \end{pmatrix} \xrightarrow{R_2 C} \xrightarrow{R_2 C} + CR_2 \qquad \begin{pmatrix} R_2 CH - C \\ R_2 \end{pmatrix} \xrightarrow{R_2 CH - CH_2} \xrightarrow{R_2 CH - CH_2$$

We convert the alkylboranes to alkanes by reacting them with aqueous carboxylic acids (Figure 17.108) (see above).

Reduction of Arenes (17.9B)

Reduction of arenes (benzenes) *via* catalytic hydrogenation gives cyclohexanes (Figure 17.109).

Figure 17.109

metal >

) LL ()

Ha

In contrast, reaction of arenes with Li, Na, or K metal dissolved in liquid NH_3 in the presence of an alcohol, gives 1,4-cyclohexadienes. This **Birch reduction** is applicable to a variety of aromatic rings as we show in the examples in Figure 17.110.

